

Contents

- OPENING WORDS 5**

- SUMMARY 11**

- TITLE I. INTERNATIONAL PERSPECTIVE OF AI SYSTEMS REGULATION BASED ON THE COUNCIL OF EUROPE’S STANDARDS 8**

- PRELIMINARY CHAPTER. Progress report of the Ad hoc Committee on Artificial Intelligence (CAHAI) 8**
 - I. Executive summary 8
 - II. Background and CAHAI's mandate 9
 - III. Progress of work 9
 - IV. The impact of the COVID-19 pandemic on CAHAI's activities 11
 - V. Working methods, documents and roadmap 12
 - VI. Synergy and complementarity of CAHAI's work with that of other international organisations 13
 - VII. Budgetary implications 13
 - VIII. Conclusions and concrete proposals for action 14
 - Annex I. Draft table of contents of the Feasibility Study 15
 - Annex II. CAHAI Roadmap 17
 - Annex III. Terms of reference of the Working Groups 18

- CHAPTER I. The Impact of AI on Human Rights, Democracy and the Rule of Law..... 21**
 - I. Introduction 21
 - II. Defining AI 22
 - i. Defining AI for regulatory purposes..... 23
 - III. Impact of AI on Human Rights, Democracy and the Rule of Law 23
 - i. AI & Respect for Human Value 24
 - ii. AI & Freedom of the Individual..... 26
 - iii. AI & Equality, Non-discrimination and Solidarity..... 27
 - iv. AI & Social and Economic Rights AI in and around the Workplace..... 28
 - v. AI & Democracy 29
 - vi. AI & Rule of Law 31

IV.	How to address the impact of AI on Human Rights, Democracy and Rule of Law?	32
i.	Putting human rights in an AI context	32
ii.	Measures for compliance, accountability and redress	32
iii.	Protecting democracy, democratic structures and the rule of law	34
V.	What if current human rights, democracy and the rule of law fail to adequately protect us?.....	35
i.	Question Zero.....	35
ii.	Red Lines	35
iii.	Some adapted or new human rights	36
iv.	Future scenarios	36

CHAPTER II. AI Ethics Guidelines: European and Global Perspectives..... 38

I.	Executive Summary	38
II.	Key findings	39
III.	Key policy implications	40
IV.	Introduction	41
V.	Methodology	42
i.	Screening	42
ii.	Eligibility Assessment.....	43
iii.	Content Analysis	45
iv.	Normative ethical and policy analysis	45
VI.	Findings	46
i.	Limitations	53
ii.	Discussion and Normative Ethical Analysis	54
iii.	Policy Implications.....	57
	Acknowledgments	59
	References.....	59

CHAPTER III. Analysis of international legally binding instruments. Final report..... 61

I.	Executive Summary	61
II.	Scope and Methodology.....	63
i.	The scenario.....	63
ii.	Research focus and methodology	64
iii.	Analysis and expected results.....	64

III.	Analysis.....	68
	i. General overview	68
	ii. Data Protection.....	70
	iii. Health	74
	iv. Democracy	78
	v. Justice	84
IV.	Harmonisation of the principles identified	89
V.	Conclusions	90
	References.....	91
	Annex 1. Legal instruments	98
	Annex 2. Impacted areas.....	104
	Annex 3. Principles.....	108
	Annex 4. Data Protection.....	114

TITLE II. NATIONAL PERSPECTIVES OF AI SYSTEMS REGULATION 120

CHAPTER I. Harnessing Innovation: Israeli Perspectives on AI Ethics and Governance..... 120

I.	Executive Summary	120
	Acknowledgments.....	121
II.	Introduction	121
	Israel's National Initiative for Secured Intelligent Systems.....	122
III.	AI applications in Israel – A public policy opportunity.....	123
	i. The private sector	124
	ii. Government initiatives and policy	125
IV.	Risks and challenges posed by AI in the fields of human rights, democracy and the rule of law.....	130
	i. What Is New and Special about AI?	130
	ii. Ethical risks and Challenges	131
V.	Israel's approach to address the challenges.....	136
	i. Six Ethical Principles for AI	136
	ii. Balanced regulation to foster innovation.....	137
	iii. Original Ethical Risk Assessment Tool	141
	iv. International activity and cooperation	142
	Annex I. Israel's AI startup landscape segmented by sectors and applications.....	143
	Annex II. Frequency Map of Ethical Challenges in the AI Development Process.....	144

CHAPTER II. AI Governance in Japan.....	148
I. Introduction	148
II. AI Governance in Japan.....	149
i. The role of each actor	149
ii. Discussions on AI in ministries and agencies	153
iii. Results	155
III. Comparison of AI governance in Japan and abroad	162
i. Comparison of the roles of different actors	162
ii. Trends and issues in focused AI technologies and fields	168
iii. How to create a forum for discussion and its challenges.....	170
IV. Conclusions	166
Acknowledgements.....	166
Annexes	167

CHAPTER III. AI-Applications in Mexico. A view from the inside	175
I. Introduction	175
II. Observing Public and Private AI-Applications in Mexico.....	176
i. Public Interest Driven AI-Applications in Mexico.....	177
ii. Private Interest Driven AI-Applications in Mexico	187
III. Accounting for AI (de)regulation in Mexico	188
i. Legal framework and public policy around and about AI	188
ii. A collective framework on and about regulating AI.....	192
IV. Conclusions	193
References.....	193